REGISTRATION

STEP ONE: Registrant Information

Please register only one person per form. All fields are required – please type or print legibly.

Name:			
Badge Name:		Designations:	
Title:			
Company:			
Address:			
City/State/Zip:			
Phone:		Fax:	
Email:			

Please note that information printed above for Badge Name, Company, City and State will appear on your conference badge exactly as stated.

If the contact information above is different than what we have on file, should these changes be updated in your record?

❑ Yes ❑ No

❑ This is my first ASPPA Annual Conference ❑ I am a TPA business owner

Business Type (Please select the one that best describes your role):

❑ Accountant ❑ Actuary ❑ Consulting Firm ❑ Human Resources Personnel ❑ Insurance Agent
❑ Investment ❑ Lawyer ❑ TPA ❑ Other (Please specify):_____________

If you require special accommodations or have dietary restrictions, please specify below:

❑ Kosher ❑ Vegetarian ❑ Gluten Free ❑ Other (Please specify):_____________________

If you require any other special accommodations, please specify: ____

STEP TWO: Workshop Selection

SUNDAY, OCTOBER 26

12:00 P.M. – 1:15 P.M.

❑ 1: Benefit Plan Considerations and Actions Before a Merger or Acquisition
❑ 2: Identifying ESOP Opportunities
❑ 3: Defined Benefit Plan Document Issues
❑ 4: Actuarial Case Studies
❑ 5: Building and Maintaining Your TPA Practice
❑ 6: The Future of Defined Contribution Plans: Lifetime Income
❑ 7: Fiduciary Protections: The ERISA Bond and Fiduciary Liability Insurance

1:30 P.M. – 2:45 P.M.

❑ 8: Compliance and Audit Strategies
❑ 9: Participant Communication: Paper or Electronic?
❑ 10: Defined Benefit Informal Roundtable
❑ 11: Cash Balance 201
❑ 12: Break Away From the Pack through Diversification
❑ 13: §3(16) Administration: Challenges and Responsibilities
❑ 14: Hot Topic TBD

MONDAY, OCTOBER 27

10:00 A.M. – 11:15 A.M.

❑ 15: Applying *Windsor* in a Post-DOMA World
❑ 16: Translating the Definition of Compensation
❑ 17: Late Retirement Issues
❑ 18: Defined Benefit Regulatory Update
❑ 19: Business Owners Roundtable
❑ 20: Beyond the Basics of Affiliated Service Groups and Controlled Groups
❑ 21: Hot Topic TBD

11:15 A.M. – 12:15 P.M.

❑ Optional Learning Labs
❑ LL1: Creditor Protection of Retirement Plan Assets
❑ LL2: Educating the Employer: Rules They Need to Know to Provide Accurate Census Data
❑ LL3: Form 1099-R Reporting for Corrective Distributions, Roth Distributions and Other Unusual Transactions
❑ LL4: Unscripted Peer-to-Peer Conversations (topics created by attendees)

2:45 P.M. – 4:00 P.M.

❑ 22: Correction Options & Opportunities for Late 401(k) Deposits
❑ 23: Case Study Review of the QDRO Rules
❑ 24: Benefit Restrictions Under IRC Section 436 and Reg. §1.401(a)(4)-5
❑ 25: Benefit Calculations: What Not to Forget
❑ 26: How to Do the "How-To's"
❑ 27: Communicating Murky Topics to Different Audiences
❑ 28: Best Practices for Plan Committees: Fiduciary Training

4:30 P.M. – 5:45 P.M.

❑ 29: Current 403(b) News and Guidance
❑ 30: Safe Harbor 401(k) Errors and Corrections
❑ 31: Trends in Plan Design
❑ 32: PBGC Reportable Events
❑ 33: Real Experiences in Matching and Marrying of Firms
❑ 34: Cross-testing: Many Problems and Even Some Solutions
❑ 35: Roundtable Discussions on Case Studies in Ethics

REGISTRATION

TUESDAY, OCTOBER 28, 2014

9:45 A.M. – 11:00 A.M.

- ❑ 36: Working through the PPA Restatement Cycle
- ❑ 37: 403(b) Most Common Errors and Fixes
- ❑ 38: Cash Balance Design/Update
- ❑ 39: Accounting for Pensions 101
- ❑ 40: Don't Sell Yourself Short
- ❑ 41: Social Security Benefit Claiming Methodology
- ❑ 42: Case Studies in Using EPCRS Without a Net

11:15 A.M. – 12:15 P.M.

- ❑ 43: Design or Disaster, Proper Use of the Safe Harbor 401(k)
- ❑ 44: Plan Terminations — Dotting the i's and Crossing the t's
- ❑ 45: Takeover Issues
- ❑ 46: Influence of Entity Types on Defined Benefit Plans
- ❑ 47: Playing on Both Sides of the Distribution
- ❑ 48: Trends in Litigated Fiduciary Responsibility Cases
- ❑ 49: Hot Topic TBD

12:40 P.M. – 1:30 P.M.

- ❑ Optional Learning Labs
- ❑ LL5: Top-10 Top-heavy Errors; A Case Study Approach
- ❑ LL6: Catch-up: The Good, The Bad and The Fiscal Year
- ❑ LL7: Unscripted Peer-to-Peer Conversations (topics created by attendees)

2:45 P.M. – 4:00 P.M.

- ❑ 50: Effective Strategies for Takeover Case Success
- ❑ 51: Plan Provisions That Should Come with a Surgeon General's Warning
- ❑ 52: Defined Benefit Q&A
- ❑ 53: TPAs and Actuaries Working Together
- ❑ 54: Communicating Your Value
- ❑ 55: What to Expect from the Affordable Care Act: 2014 and Beyond!
- ❑ 56: Hot Topic TBD

4:30 P.M. – 5:45 P.M.

- ❑ 57: Advanced Issues with Participant Loans and Hardship Withdrawals
- ❑ 58: 31 Flavors of Rollovers
- ❑ 59: Advanced DB/DC Combined Plan Testing
- ❑ 60: Defined Contribution Issues for Actuaries
- ❑ 61: Maximizing the TPA and Advisor Relationship
- ❑ 62: 408(b)(2) and 404(a)(5) Disclosures
- ❑ 63: Breaking Down the Many Fiduciary Roles, Obligations and Service Models

WEDNESDAY, OCTOBER 29, 2014
10:00 A.M. – 11:15 A.M.

- ❑ 64: How to Complete a VCP Application
- ❑ 65: Effective Use of the Coverage Testing Rules
- ❑ 66: Actuarial Case Studies in Ethics
- ❑ 67: Accrual Rules
- ❑ 68: Let Your Best Practices Have Your Back/Protection through Planning
- ❑ 69: Strategies for Increasing Participant Savings
- ❑ 70: Hot Topic TBD

STEP THREE: Payment Information

Registration Fees (please see page X for more information on Host Hotel vs. No Host Hotel)

	Early (until 09/26/14)		Regular (09/27/14 -10/17/14)		On-site (after 10/17/14)	
	HOST HOTEL	NO HOST HOTEL	HOST HOTEL	NO HOST HOTEL	HOST HOTEL	NO HOST HOTEL
ASPPA Member	❑ $1,030	❑ $1,280	❑ $1,330	❑ $1,580	❑ $1,630	❑ $1,880
* Additional ASPPA Member	❑ $930	❑ $1,180	n/a	n/a	n/a	n/a
Non-member	❑ $1,335	❑ $1,585	❑ $1,635	❑ $1,885	❑ $1,935	❑ $2,185

Guest Ticket – Sunday Reception (# ______)	______ x ❑ $70 =	$
Guest Ticket – Monday Reception (# ______)	______ x ❑ $70 =	$
	TOTAL DUE:	$

❑ Check Payment: Check number: _______ ❑ Visa ❑ Mastercard ❑ American Express ❑ Discover

Mail checks to: ASPPA, PO Box 34725, Alexandria, VA 22334-0725

Name as it appears on card:	
Card Number:	
Expiration Date:	
Authorized Signature:	

To prevent duplication of payment, send your registration form only once. If you are mailing it, do not fax it and vice versa.
*To qualify for the additional member rate, additional members must be from the same company and all registration forms must be submitted together with payment by the early registration deadline of September 26, 2014.